



**Consolidated Annual Performance
and Evaluation Report (CAPER)
HUD Program Year 2015
City Fiscal Year 2015-2016**
(Updated September 9, 2016)

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The Program Year 2015 (PY15) Consolidated Annual Performance Evaluation Report (CAPER) captures the expenditures, accomplishments and progress made with activities identified in the Fiscal Year 2015-2016 (July 1, 2015 - June 30, 2016) Annual Action Plan and related amendments. The CAPER outlines the City of Modesto's achievements in the areas of affordable housing, homeless services and community development programs. The PY15 CAPER is the first annual report of the 5-year City of Modesto 2015-2020 Consolidated Plan. The programs are primarily administered and overseen by the Community & Economic Development Department (CEDD) in cooperation with other City departments.

The City's primary focus during PY 2015, was to continue improving neighborhoods, homeownership, living conditions, and the provision of services for the homeless and those individuals with special needs.

Goals contained in the **City of Modesto Strategic Plan** that the City deemed relevant for the Consolidated Plan were also among the bases for assigning priorities

Amendment to the PY15 Annual Action Plan

A Request for Qualifications for Affordable Housing Developers and a Request for Proposals for CHDOs were issued on June 3, 2015 with proposals due on June 29, 2015.

A 30-day public comment period concluded with a public hearing at City Council.

- **Veterans Project** - City Council approved a HOME Loan agreement with Great Valley Housing Development Corporation, a non-profit organization, in the amount of \$300,000, for construction of four affordable housing units for veterans at risk of homelessness.
- **522 Granger Project** – City Council approved a HOME Loan agreement with Stanislaus County Affordable Housing Corporation, in the amount of \$540,000, for construction of four permanent supportive units for persons with mental health disabilities.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Acquisition and Rehabilitation	Affordable Housing		Homeowner Housing Rehabilitated	Household Housing Unit	73	0	0.00%			
At-risk Housing Services	Non-Housing Community Development		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	473	88	18.60%	88	88	100.00%
Child Care Services	Non-Housing Community Development		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1455	59	4.05%	59	59	100.00%
Child Care Services	Non-Housing Community Development		Jobs created/retained	Jobs	0	1		1	1	100.00%
Fair Housing Services and Education	Fair Housing		Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	60	0	0.00%			

Homebuyers Assistance	Affordable Housing		Direct Financial Assistance to Homebuyers	Households Assisted	36	0	0.00%			
Housing Crisis Counselling	Homeless		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	290	35	12.07%	35	35	100.00%
Housing Crisis Counselling	Homeless		Homeless Person Overnight Shelter	Persons Assisted	0	0		0	0	
Housing Preservation	Affordable Housing		Rental units rehabilitated	Household Housing Unit	300	0	0.00%			
Housing Rehabilitation Program	Affordable Housing		Homeowner Housing Rehabilitated	Household Housing Unit	35	0	0.00%			
Independent Living Services	Non-Housing Community Development		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	17		17	17	100.00%
Local LMI Hiring Initiative	Non-Housing Community Development		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	100	0	0.00%			
Local LMI Hiring Initiative	Non-Housing Community Development		Jobs created/retained	Jobs	35	0	0.00%			
Minor Home Repair - Energy Efficiency	Affordable Housing		Homeowner Housing Rehabilitated	Household Housing Unit	60	0	0.00%			

Permanent Supportive Housing	Homeless		Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	30	0	0.00%			
Rental Housing Construction	Affordable Housing		Rental units constructed	Household Housing Unit	44	0	0.00%			
Senior Housing Production	Affordable Housing		Homeowner Housing Rehabilitated	Household Housing Unit	125	0	0.00%			
Senior Supportive Services	Non-Housing Community Development		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	875	0	0.00%			
Supportive Housing	Affordable Housing		Rental units constructed	Household Housing Unit	90	0	0.00%			
Supportive Services - Homeless	Homeless		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3000	27	0.90%	27	27	100.00%
Supportive Services - Housing	Non-Homeless Special Needs		Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1250	0	0.00%			
Transitional Housing Units	Homeless		Housing for Homeless added	Household Housing Unit	35	0	0.00%			

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The goals outlined in the City's 5-year Consolidated Plan are all high priorities and are used as a basis for CDBG budgeting priorities. Each CDBG activity funded was appropriately vetted to ensure that it met a national objective.

The City's overall priority is to increase self-sufficiency and economic opportunity for lower-income residents and individuals with special needs so that they can achieve a reasonable standard of living. This priority can be achieved through a combination of the following: affordable housing; supportive services to maintain independence; investment in lower-income and deteriorating neighborhoods, and in facilities that serve lower-income populations; and education and technical skills that allow individuals to obtain jobs paying self-sufficiency wages.

The City, by focusing on these overall priorities, seeks to address community concerns such as:

- A need for additional affordable housing to address housing problems such as overcrowding, overpayment, and substandard housing conditions for the City's lowest income residents;
- Supportive services that increase the ability of seniors, persons with disabilities, and others with special needs to live independently and avoid institutions;
- A network of shelter, housing, and support services to prevent homelessness, move the homeless to permanent housing and independence, and decrease the number of homeless households with children; and Programs that promotes economic development and creates jobs, and that increase the job skills of potential employees from the targeted lower-income groups.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	21,658	11	710
Black or African American	3,138	2	121
Asian	538	0	10
American Indian or American Native	467	0	20
Native Hawaiian or Other Pacific Islander	262	0	103
Total	26,063	13	964
Hispanic	13,503	2	298
Not Hispanic	12,560	11	684

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The City of Modesto identified priority needs and offers services and programs to eligible households regardless of race or ethnicity.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG		7,393,956	1,729,642
HOME		2,671,760	1,070,006
ESG		671,636	148,626

Table 3 - Resources Made Available

Narrative

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
LOW INCOME CENSUS TRACTS		73	CDBG activities

Table 4 – Identify the geographic distribution and location of investments

Narrative

The City is located within Stanislaus County, in Northern California. The attached maps show the census tracts that were the focus of assistance during the program year. The first map shows the low-income census tracts where median household incomes are below 80% of the area's median income. The second map shows the areas of minority concentration for those who identified themselves as Hispanic, Black-African/American, Asian, and American Indian/Alaskan Native.

If 51 percent or more of the households in a given Census Tract qualify as low- or moderate-income, or 51 percent or more of the population are members of minority groups, then the tract is defined as an area of concentration. Nine Census Tracts mainly located along Highway 99 and surrounding downtown Modesto, have low- or moderate-income concentrations. Fourteen Census Tracts, including most of the Census Tracts west of Highway 99 and a couple other tracts on the edges of the City limits, have minority concentrations.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

For the ESG program, the City required that ESG sub-recipients provide a dollar-for-dollar match for ESG funds received. Typically, the City is able to generate significantly more match than the amount required through its allocation of CDBG funds for ESG activities and primarily through the cash and non-cash contributions provided by ESG sub-recipients as a result of the generosity of their donors, supporters, and other funders.

Cash contributions mean cash expended for allowable ESG costs, while noncash contributions mean the value (using a method reasonably calculated to establish fair market value) of any real property, equipment, goods, and services contributed to an ESG activity, provided that if these had to be paid with ESG funds, the costs would have been allowable.

Specific sources of cash contributions include: private individual donors, California Wellness Foundation, Family and Youth Services Bureau (Runaway and Homeless Youth Program), California Department of Education (CCTR/CSPP), First 5 California, Emergency Food and Shelter Program, and California Governor's Office of Emergency Services (Victim Services Branch).

The 25% HOME match requirements were met through the contributions or layers of funds that the developers provided for each HOME -assisted project. The match included private financing, waiver or deferral of development fees approved by the City Council, Tax Credit Allocations, and any other eligible source.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	28,168,009
2. Match contributed during current Federal fiscal year	10,582,359
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	38,750,368
4. Match liability for current Federal fiscal year	363,664
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	38,386,704

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
995 Tower Park	04/01/2016	0	0	0	0	0	0	10,582,359

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
6,743	70,650	72,390	0	5,003

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	800	0
Number of Non-Homeless households to be provided affordable housing units	20,000	0
Number of Special-Needs households to be provided affordable housing units	145	0
Total	20,945	0

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	14	0
Number of households supported through The Production of New Units	25	0
Number of households supported through Rehab of Existing Units	7	0
Number of households supported through Acquisition of Existing Units	2	0
Total	48	0

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The City has worked to eliminate barriers to the development of affordable housing through the following continuing efforts:

- Providing funding for land acquisition, secondary financing, and infrastructure costs;
- Assisting qualified households to purchase homes utilizing the Homebuyers Assistance Program;
- Exemption or deferral of Capital Facilities Fees for projects providing low-income housing;
- Using the adopted streamlining process for environmental review;

- Continuing to work with non-profit housing agencies in the provision of supportive services and programs; and
- Using streamlined application review and permit processing.

The goals from the City's Housing Elements are relevant to the Consolidate Plan requirements to remove barriers to affordable housing.

The City adopted an Analysis of Impediments to Fair Housing Choice (AI) that outlines the public policies that could potentially limit access to affordable housing. These public policies include: development plans and policies; zoning ordinances; building, occupancy, and health and safety codes, affordable housing development, public housing authoring collaboration, and community representation and participation. An example of a policy that incentivizes the development of affordable housing is a recently updated program that provides exemptions and/or deferrals of Capital Facilities Fees to for-profit or non-profit affordable housing developers, with special consideration given to CHDOs.

The City, in collaboration with other participating cities throughout the Northern San Joaquin Region Smart Valley Places, is working on a Fair Housing and Equity Assessment (FHEA) that will inform the City's updated AI in 2015. The City is also preparing for a change in AI guidelines as indicated in the Assessment of Fair Housing (AFH) proposed rule.

Some of the greatest characteristics of this project are its green building features. The installation of solar thermal hot water will offset a substantial portion of the building's project domestic hot water heating loads and will help keep operating costs and rents low. The project will also be GreenPoint Rated (with a minimum projected score of 125 points). Interior finishes will be environmentally sensitive including low- VOC paints, green label carpet, low-flow faucets and showerheads, and energy star rated appliances. In addition, wayfinding clues will be incorporated into the design of common areas finishes assisting seniors that may experience memory loss or need additional visual clues to find their way. The grandopening provided the new residents an opportunity to share. Tower Park Apartments will be operated by Beacon Communities (former ABHOW), who have a long history of providing housing and services tailored to the specific needs of seniors

Discuss how these outcomes will impact future annual action plans.

As discussed above the outcomes have a major role in developing future Consolidated Annual Action Plans as well as their future goals. CDBG Funds were used for housing activities such as home repair loans and grants to assist eligible homeowners.

The City continues to set priorities to meet the goals in the 5-Year Consolidated Plan shifting focus as needed to priorities activities based on available funding.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	13	0
Low-income	8	0
Moderate-income	0	0
Total	21	0

Table 13 – Number of Persons Served

Narrative Information

The City's three Housing Rehabilitation activities served 21 low income residents. Tower Park project funded with HOME will be completed in PY2016

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City participated in Continuum-wide planning to move individuals and families from homelessness to permanent housing and independent living through a network of housing linked to supportive services. The primary strategy document is the annual Continuum of Care Application. Additionally, the local Continuum of Care is currently working with Continuum members in the first steps toward a Continuum-wide coordinated intake system.

All ESG subrecipients that carried out emergency shelter activities had a street outreach component to its operations. Additionally, these ESG subrecipients also participated in the local Continuum of Care's point-in-time count in January 2015, which afforded them an opportunity to reach out to unsheltered persons. Also, through the local CoC, the City participated in the annual Street Relief event, which provided a variety of services (bike repair, veterinary, haircut, information and referral, etc.) to approximately 1,408 persons (69.85% in the City of Modesto) experiencing homelessness, which included unsheltered persons. The City's ESG subrecipients that provide emergency shelter also provide case management with prerequisite assessment so that they may develop an individualized plan comprised of personal goals

Addressing the emergency shelter and transitional housing needs of homeless persons

As the county seat of Stanislaus County, the City is where most all services (including shelter and transitional housing) are located in Stanislaus County. Therefore, many people experiencing homeless who utilize available services live in Stanislaus County live in Modesto. The City funded shelter activities to the maximum extent possible with CDBG Public Services and ESG (Hold-Harmless Need or HHN) funds. Other strategies designed to address homelessness, such as financial assistance and supportive housing, were carried out through other City funding sources, such as using federal stimulus funds in partnership with the Housing Authority to build affordable housing designed to serve youth experiencing homelessness and other extremely low-income/homeless populations.

In addition to helping these persons make the transition to stable housing using its ESG funds, the City has invested millions of dollars using federal stimulus funds to develop, in partnership with its sub-recipient, the Housing Authority, a 32-unit complex designed to serve youth experiencing homelessness and former foster youth (ages 18-28) with permanent supportive housing. Center for Human Services' Pathways serves as a transitional supportive housing pathway to this 32-unit permanent supportive housing project.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

While a discharge coordination plan has yet to be developed and implemented locally, the City does help lead in the coordination of Continuum-wide services. Furthermore, homelessness prevention assistance, while scarce, was provided through an ESG sub-recipient (Community Housing and Shelter Services)., Foster care youth and those exiting the foster care system were served through the City partnership with the Housing Authority on the above-mentioned federal stimulus funded activity.

The City awarded CDBG and/or ESG funds to the following emergency shelter and transitional housing providers: Emergency shelter housing services were offered through The Salvation Army , Havens Women's Center and Family Promise Services, The Salvation Army and Center for Human Services provided transitional housing services.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

In addition to targeting families with dependent children at imminent risk of experiencing homelessness, this particular homeless subpopulation, i.e., potential ESG program participants that are currently (or will within six months be) receiving mainstream benefits, were also targeted with ESG HPRP financial assistance.

The City has invested years of effort and millions of dollars of HOME, federal stimulus, and Redevelopment funds to develop and address the affordable housing needs of other special needs populations (e.g., elderly and frail elderly; persons with mental, physical, and/or developmental disabilities; persons with alcohol and other drug addiction; persons with mental illness; persons with HIV/AIDS and their families; and public housing residents

In addition to affordable housing development, the City targeted elderly and frail elderly and persons with disabilities through its CDBG Public Services activity: United Cerebral Palsy's Community Project for Safe Seniors, which employed adults with developmental disabilities to provide at-home services for elderly and frail elderly persons - as well as Disability Resource Agency for Independent Living, which provided assistive devices for persons with physical disabilities.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City, its ESG subrecipients, and other partners have been especially strategic in building housing that will stably house and promote the self-sufficiency of these subpopulations of persons experiencing homelessness. For example, City's Homelessness Prevention and Rapid Re-Housing (HPRP) activities through Children's Crisis Center included the allocation of ESG funds for HUD-VASH program participants (veterans and/or their families experiencing homelessness) so that they may receive security deposit assistance, which is not available through this otherwise-comprehensive permanent supportive housing program. Another subpopulation that was targeted with ESG HPRP financial assistance is families with dependent children. In addition to helping these persons make the transition to stable housing and self-sufficiency using its ESG funds, the City invested millions of dollars using federal stimulus funds to develop, in partnership with its subrecipient, the Housing Authority of the County of

Stanislaus, a 32-unit complex designed to serve youth experiencing homelessness and former foster youth (ages 18-28) with permanent supportive housing. Center for Human Services' Pathways serves as a transitional supportive housing pathway to this 32-unit permanent supportive housing project.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City works in collaboration with the Housing Authority of the County of Stanislaus (hereafter the Housing Authority) to increase the level of permanent supportive housing for special populations and persons with disabilities. During PY15 the Housing Authority leased several units of supportive housing for Emancipated Foster Youth that also includes supportive services provided by various agencies working with foster youth.

The City participates in the County wide Continuum of Care Collaborative along with the local Housing Authority and other local agencies to identify housing needs within the county, including resident initiatives.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The Housing Authority provides homeownership resources to participants in the Housing Choice Voucher Program. The Family Self-Sufficiency (FSS) Program has established partnerships with a variety of community resources to refer participants for services including pre- and post-secondary education, health care, child care, employment development, supported employment, and small business development including micro-loans. The FSS Program also encourages families to participate in financial wellness programs including financial literacy and credit repair with an emphasis on long-term financial stability for the purposes of homeownership. Supportive services are provided through the Stanislaus County Assistance Project, the Stanislaus County Department of Mental Health, or Stanislaus County Integrated Services Agency.

The Housing Authority previously implemented a services and communication “quality control” system that provides the Housing Authority with immediate customer feedback and identifies areas that may need improvement.

The Housing Authority has also implemented a resident education program with regularly scheduled meetings and written communications on agency policy, rules, and leases. Efforts to improve communications with residents and program participants include: on site resident training/informational meetings, regular newsletters and flyers.

The Housing Authority has implemented a “curb-side” appearance program. The focus of the program is the exterior of buildings, parking areas, play grounds and other areas of the complexes. Rodent and insect problems are addressed when residents report a problem and/or on Annual Inspections. In an effort to better educate residents concerning these problems, information is regularly provided through

the Housing Authority's newsletter.

These actions have assisted the Housing Authority in creating an atmosphere which emphasizes customer satisfaction and communication.

Actions taken to provide assistance to troubled PHAs

The Housing Authority of the County of Stanislaus is a Standard Performer, not a troubled Public Housing Authority.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

All actions going before City Council are aligned to one of the four strategic commitments outlined in the City of Modesto Strategic Plan 2015-2018:

o Great, Safe Neighborhoods o Healthy Economy & Great Quality of Life o Effective, Responsive & Transparent Government o Vibrant Infrastructure & sustainable environment

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The City has worked to eliminate barriers to the development of affordable housing through the following continuing efforts:

The City's CDBG Public Services and ESG allocations reflect the City's priorities in meeting underserved needs, such emergency shelter and street outreach (individuals, survivors of domestic violence, families, and children), homelessness prevention and rapid re-housing, food security, neighborhood revitalization, foster youth, seniors, youth, and persons with disabilities.

- Providing funding for land acquisition, secondary financing, and infrastructure costs;
- Assisting qualified households to purchase homes utilizing the homebuyer assistance programs;
- Exemption or deferral of Capital Facilities Fees for projects providing low-income housing;
- Using the adopted streamlining process for environmental review;
- Continuing to work with non-profit housing agencies in the provision of supportive services and programs;
- Using streamlined application review and permit processing; and
- Reduction of parking standards. There is an ongoing gap in the availability of services across most categories of underserved needs, including seniors, persons with disabilities, individuals with chronic illness, persons with other conditions affecting their ability to function independently and productively, foster youth and homeless. In addition, there is a need to link access to supportive services to affordable and appropriate housing.

The City allocated entitlement funding in the community enabling local organizations to provide services that would meet underserved needs

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Housing age is the key variable used to estimate the number of housing units with lead-based paint (LBP). Starting in 1978, the Federal government prohibited the use of LBP on residential properties. National studies estimate that 75 percent of all residential structures built prior to 1970 contain LBP. Housing built prior to 1940 is highly likely to contain LBP (estimated at 90 percent of housing units), and in housing built between 1960 and 1979, 62 percent of units are estimated to contain LBP.

All housing-related programs administered by the City have policies in place which require that all units constructed before 1978 be screened for LBP hazards. The LBP regulation that became effective April 22, 2010, added a requirement that contractors bidding on the rehabilitation of housing built prior to 1978 provide documentation of EPA Lead Renovation and Repair and Painting certification. If lead is found in any housing units, an LBP clearance test is conducted after the work has been completed by a licensed contractor with expertise in this type of work. Final payment is not released until the unit has passed the LBP testing requirement. These requirements assisted the City in its goal to eliminate the lead-based paint hazards in the units of the community. In PY15 the City of Modesto hired an Environmental Specialist

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Providing opportunities for low-income families to improve their economic status is an area of focus for the City, and is reflected in the City's Strategic Plan. The City leverages its federal grant funds from CDBG and ESG to:

- Support organizations and businesses interested in furthering economic development opportunities through the use of small business loans to create and retain jobs for low and moderate-income workers.
- Increase the supply of affordable housing in our community. While the production and preservation of affordable housing on its own will not raise people's income or lift them from poverty, it does contribute to stabilizing living expenses for low-income families, so that they have more discretionary income for other living expenses. During PY15 the City allocated CDBG funds ESG funds to community-based organizations for various programs to assist individuals and families experiencing homelessness or at risk of experiencing homelessness and low and moderate-income individuals and families achieve self-sufficiency

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City is the lead agency responsible for preparation of the Consolidated Annual Performance Plan (CAPER) and for administration of each grant program and its associated funding source(s). Primary oversight of the City's HUD entitlement grant programs comes from the Citizens' Housing and Community Development Committee (CH&CDC). This 13-member committee is appointed by the City Council and is comprised of representatives from the City Council, Planning Commission, Housing Rehabilitation Loan Committee, Human Relations Commission and/or Citizens Redevelopment Advisory Commission and several citizens-at-large. Generally, the citizens-at-large represent low-income and racial minority groups. The CH&CDC makes funding recommendations to the City Council regarding HUD CDBG, ESG and HOME Grant programs as well as other one-time HUD funded programs.

To avoid a perceived conflict of interest, any individual who is a member of a board of directors of or is employed by an agency that applies for or receives CDBG, ESG, HOME, or other HUD funding, is ineligible to vote on funding decisions involving their respective agencies.

The CH&CDC meets approximately once a month. These are meetings that are publicly noticed, are open to the public and are generally held at Tenth Street Place, 1010 Tenth Street, Modesto, California. Public comments are both encouraged and welcomed. On September 21 2016, the CH&CC met to review the CAPER. During this public meeting, CH&CDC members made a motion to submit the CAPER to City Council for final review and approval.

The Community Development Manager, which oversees CDBG, HOME, ESG and stimulus funds administration, conducted regular meetings with staff to discuss current projects and prospective activities.

CEDD Housing Staff and Finance Department personnel continued to meet on a monthly basis to review processes and to monitor Federal program expenditures. Staff verified and cross-referenced the information on the monthly budget activity reports with supporting documentation maintained by the Division. For easy identification on both the City's financial reporting system and the Federal Integrated Disbursement and Information System (IDIS), Staff assigned an IDIS Activity number to all financial transactions.

Staff worked closely with Finance, Public Works Departments and Parks Planning and Development Division to ensure:

- Capital Improvement Projects (CIPs) and Multi-Year Projects were on schedule and consistent with Federal program requirements (both statutory and regulatory), and
- Invoices for work completed were paid in a timely manner. The Community Development Department experienced staffing turnover and other unforeseen circumstances during PY15. These challenges attributed to the city not meeting the timely expenditure of HUD funds as required in provision 24 CFR 570.902 of the CDBG regulations. City Council approved to restructure the housing unit providing management an opportunity to recruit and acquire experienced individuals to fill these gaps. These additions to staff will assist with ensuring this

requirement is met in future program years.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Staff participated in the City's Development Review Team which meets to review and discuss proposed residential and commercial developments in the City to ensure sustainability or "smart growth" and mitigate impact to environment and surrounding neighborhoods. The team includes traffic engineers, landscape architects, planners, etc.

Staff provided technical assistance workshops to non-profit agencies on the types of services that are eligible for ESG and CDBG Public Services funding, as well as a detailed explanation of new ESG requirements. Staff also conducted a pre-award workshop for reporting requirements and Federal regulatory and statutory requirements for these programs.

Staff participated in housing, homeless and public service funding committees. (i.e. Stanislaus Housing and Support Services Collaborative (Continuum of Care), Emergency Food and Shelter Board (EFSP), and United Way Impact Councils).

Staff continued to be involved with initiatives that originated from the City's Housing Element.

The Community Development Manager and Housing and Urban Development lead met with the two approved Community Housing Development Organizations (CHDOs) to review projects, anticipate and resolve issues, and monitor spending.

Staff participated in housing, homeless and public service funding committees. (i.e. Stanislaus Housing and Support Services Collaborative (Continuum of Care), Emergency Food and Shelter Board (EFSP), and United Way Impact Councils).

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City's Analysis of Impediments (AI) identified the following social and economic characteristics as they relate to local public- and private-sector housing practices and housing market conditions that may expose certain population groups to the potential for housing discrimination:

- Persistently high rates of unemployment and underemployment; Poor credit as a result of prior foreclosure; Lack of accommodation/poor accessibility for households with special needs;

Adverse impact of occupancy restrictions on large, low-income households; Lack of assistance and opportunity for single-female-headed households; and Lack of financial assistance available to low-income households

- While the AI identifies a number of potential issues that could affect housing choice, some issues, such as lending practices are beyond the ability of a local jurisdiction to address. The City, through a subrecipient, provided housing counseling (including foreclosure prevention services) to low- and moderate-income families to help address potential foreclosure, as well as to promote home ownership. In order to address the lack of reasonable accommodation and barriers to accessibility for households with persons with disabilities, the City has: Rehabilitated owner-occupied dwellings of seniors; Through its subrecipient, Disability Resource Agency for Independent Living (DRAIL) provided adaptive devices for improved accessibility in the home for seniors and persons with disabilities. Two grab bars were obtained for two residences. A wheelchair carrier was purchased for a resident with a physical disability. A doorbell alert device, and a portable ramp were also made possible with CDBG funds; Through its subrecipient, Project Sentinel (a HUD-approved housing counseling and fair housing agency), provided both fair housing services and tenant-landlord counseling to educate both tenants and landlords/property management companies on their rights and responsibilities under California and U.S. fair housing rules; In response to identifying the lack of adequately sized and affordable housing for large and/or multi-generational households, the City continues to work with Habitat for Humanity, Stanislaus County to build a more than \$3 million single-family subdivision that will be comprised of 21 amply sized homes with larger families in mind. The City made the initial \$1 million allocation to this project with federal stimulus funds; financial assistance to both single-female- head and low- income households or families experiencing homelessness, the City, through its multitude ESG and CDBG Public Service subrecipients funded housing and shelter services. Family Promise of Greater Modesto provided shelter, meals, transportation and case management to families/individuals. Case management services were specially designed to focus on housing stabilization and included creation of an income and housing plan for each family. All sheltered families received financial education and budget counseling; referrals to housing resources and ongoing support in their journal to housing self-sufficiency. Obstacles include unemployment, lack of employment skills, negative or no rental history and no savings for security and utility deposits

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Staff assess risk of CDBG and ESG subrecipients according to the following risk factors to determine extent of monitoring for any given CDBG-/ESG-funded activity.

Subrecipient experience administering CDBG/ESG funds (i.e., new subrecipients); Subrecipient experience carrying out funded activity (i.e., new activities); Past performance administering CDBG/ESG funds; Past performance carrying out funded activity; Subrecipients with limited administrative capacity; Innovative activities that may be high-risk (e.g., economic development activities); and Turnover in activity-delivery staff/leadership

Extent of monitoring ranges from frequent desk audits (i.e., monthly review of project files - performance reports, invoices and supporting documentation, ensuring timely expenditure, tracking progress toward Consolidated Plan/Annual Action Plan goals, etc.) for all CDBG-/ESG- funded activities to more extensive and less frequent (at least once every program year) on-site audits for "high-risk" subrecipients with certain risk factors as described above.

These on-site audits consist of the following: Letter to subrecipient in preparation for the on-site visit; Entrance conference; Key informant interviews; Review of beneficiary, financial, policies and procedures, and other records; Exit conference; Monitoring letter describing both positive feedback and detailed deficiencies; and follow-up,

The attached document is a summary of the City's monitoring objectives.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Objectives of the Citizen Participation Plan (CP) is to provide for and encourage citizen participation, with particular emphasis on participation by persons of low and moderate income who are residents of areas of slum or blight, residents of low and moderate income neighborhoods, and residents of areas in which federal entitlement funds are to be used; To make proposed plans for the use of federal entitlements funds available to persons, public agencies, and other interested parties. Information to be

provided will include the amount of entitlements the City expects to receive (including program income), the range and nature of activities to be undertaken, the estimated benefit of those activities to low and moderate income persons, and the performance of those activities in providing such benefits

- It is the intent of the City to encourage and facilitate citizen participation by: Soliciting needs in the neighborhood; Obtaining community input regarding how funds should be invested; Building a sense of community among residents; Encouraging community empowerment and greater community voice; and Providing neighborhoods with information about City services. A public notice informing the public of the availability of the report and the start of the public comment period was published in the Modesto Bee and Vida En El Valle Publications. Spanish-speaking translators are available at all meetings. If a request for other language translators is made at least five working days prior to a public meeting, translators will be provided. All community input meetings will be held at public buildings with disabled access. The Citizens Housing & Community Development Committee (CH&CDC) provides overall review of the City's HUD funds. This Council-appointed committee provides policy and funding recommendations to the City Council regarding the City's use of HUD entitlement funds. On September 21, 2016, the City's CH&CDC reviewed and discussed the draft CAPER and recommended that it be forwarded to the City Council for review and approval. The 30-day public review period to review and comment on the draft documents closed with a public hearing at the City Council meeting on Tuesday, September 27, 2016. City Council Resolution 2016-## authorized staff to submit the PY15 CAPER to HUD.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The City’s Housing Rehabilitation Program underwent a thorough review of the policies and procedures during PY15 thus reducing the intended number of completed projects initially planned for this program year.

With the addition of a new program supervisor for the Housing Rehabilitation unit , the housing team will be working to accomplish the following objectives in early PY16.

1. Update and revise the Housing Rehabilitation Program Policies and Procedures to address and overcome challenges which have faced previous projects;
2. Audit existing project files and present recommendations to the Committee for outstanding items that still need to be addressed;
3. Work with the Housing Rehabilitation team to develop effective delivery of services, including service timelines, and a Program Desk Manual that includes eligibility, housing rehabilitation tasks, bidding, updated documents, Environmental Review, Section 3, and minimum Housing Quality Standards processes;
4. Prepare a presentation for the Housing Rehabilitation Loan Committee (HRLC) that provides an overall program overview.

The City has not changed the objectives of its CDBG program and continues to strategically use CDBG funds to maximize leverage for public services, public facility improvements, housing development, economic development, and administration activities.

There is a continuous need for CDBG funds to fulfill objectives in all the above. The City will continue to pursue additional sources of funding such as it did with the Community Development Financial Institution (CDFI) which leverages a dollar-for-dollar match with non-CDBG funds to be deployed to small businesses in Modesto and its Sphere of Influence to create and retain more jobs. By working with developers, non-profits and other governmental agencies to leverage a variety of funds it will only help in meeting the City’s long term goals.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?	No
--	----

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

It is the intent of the City of Modesto, that HOME-assisted rental units are inspected for rent, income, housing quality, and other affordability criteria in accordance with section 92.252 as follows:

- HOME-assisted units containing one to four units, the monitoring is performed not less than once every three years; HOME assisted complexes containing five to 25 units are monitored once every two years, and HOME assisted projects containing 26 units or more are monitored every year. There are different levels of monitoring that Staff follows beginning at project predevelopment and through the period of affordability. During the predevelopment and construction process staff reviews each draw request. Staff meets with developers to provide technical assistance if necessary and makes periodic site visits to follow-up on project progress. In addition, Staff constantly monitors to see if the project is meeting the standards established in initial agreement, costs are on target and check on quality of construction. During the past fiscal year no onsite monitoring took place.

Staff developed a monitoring schedule of affordable housing projects for PY15 but due to staffing issues and the lack of available resources staff was unable to conduct on-site inspections of units to determine compliance with property standard requirements. Oversight of HUD programs on a regular basis will be a priority this upcoming year. Resources will be dedicated to maximizing the benefit for the citizens of Modesto.

Staff verified information obtained by the owners in regards to lease, income verification, rent, utility allowances and compliance with provision of written agreements of HOME assisted units.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The city implemented an Affirmative Marketing Policy for use in its HOME program in, order to comply with HUD fair housing objectives. Both the borrower/developer and the City share the responsibility to

inform the public about federal fair housing laws. The City, through its monitoring of the HOME units, evaluates the effectiveness of the marketing efforts. The beneficiaries are held to the terms of the policy by reference in the HOME Loan Agreement and Trust Deed executed to secure the HOME loan.

Additionally, the City of Modesto Council appoints representative members of the community to serve on a joint Equal Opportunity/Disability and Human Relations Commission, whose primary responsibility is to advise and assist the City Manager and staff in matters that relate to equal opportunity and persons with disabilities, and to make recommendations for furthering human relations and fair housing in the community, respectively. These commissions are comprised of members of protected classes, such as women, racial and ethnic minorities, persons with

disabilities, etc.

HOME funds were used as a catalyst for the construction of affordable housing units for very

low-, low-, and moderate-income and/or special needs households during this reporting period.

To assist the city with maintaining affordable housing, the City of Modesto is an active member of the local Continuum of Care, the Stanislaus housing and Support Services Collaborative (SHSSC). The SHSSC drafted the Ten-Year Plan to End Long-Term Homelessness, which was adopted by the Modesto City Council in June 2007. This plan continues to inform the City's Consolidated Plan strategies, priorities, and program-year activities. The City is not a grantee of competitively awarded Continuum of Care programs (Supportive Housing Program [SHP], Shelter Plus Care [S+C], and Single Room Occupancy [SRO]); however, the City participates in the planning, strategizing, and decision-making of the local Continuum of Care. The City formally consults with the Continuum of Care to inform its ESG and CDBG Public Services funding priorities to more effectively address homelessness. Both the City and the Continuum are increasingly prioritizing strategic permanent supportive housing that targets vulnerable population groups (e.g., families, persons with disabling conditions, veterans, persons exiting public institutions, etc.) and those experiencing long-term homelessness as a means of addressing and preventing homelessness. Also, the City coordinates with other Federal resources, including those administered by the HACS and the U.S. Department of Veterans Affairs (VA), to leverage CDBG, HOME, and ESG funds

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

The HOME program experienced some challenges in PY15. In PY15 a new financial review documents

were implemented to assist the city with a better tracking mechanism to ensure funds are being committed/expended timely. As new projects are identified, the city is encouraged to have the means to assist with ensuring older funds are being committed and expended timely. Program Income funds were drawn in PY 15 for expenses for three HOME activities in the construction phase so tenant characteristics will be captured in PY16.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	MODESTO
Organizational DUNS Number	060125051
EIN/TIN Number	946000374
Identify the Field Office	SAN FRANCISCO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Turlock/Modesto/Stanslaus County CoC

ESG Contact Name

Prefix	Mr
First Name	Aaron
Middle Name	D
Last Name	Farnon
Suffix	0
Title	HUD Supervisor

ESG Contact Address

Street Address 1	1010 10th Street
Street Address 2	3rd Floor
City	Modesto
State	CA
ZIP Code	95353-
Phone Number	2095775328
Extension	0
Fax Number	0
Email Address	afarnon@modestogov.com

ESG Secondary Contact

Prefix	Mrs
First Name	Tina
Last Name	Rocha
Suffix	0
Title	Community Development Manager
Phone Number	2095775321
Extension	0
Email Address	trocha@modestogov.com

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2015
Program Year End Date 06/30/2016

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: COMMUNITY HOUSING AND SHELTER SERVICES

City: Modesto

State: CA

Zip Code: 95350, 5417

DUNS Number: 835658782

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 57368.91

Subrecipient or Contractor Name: THE SALVATION ARMY (EMERGENCY)

City: Modesto

State: CA

Zip Code: 95354, 2225

DUNS Number: 085341261

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 27145.28

Subrecipient or Contractor Name: THE CHILDREN'S CRISIS CENTER

City: Modesto

State: CA

Zip Code: 95351, 2803

DUNS Number: 052995354

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 23787.11

Subrecipient or Contractor Name: FAMILY PROMISE OF GREATER MODESTO

City: Modesto

State: CA

Zip Code: 95358, 9501

DUNS Number: 023267225

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 13712.57

Subrecipient or Contractor Name: Center for Human Services

City: Modesto

State: CA

Zip Code: 95350, 4373

DUNS Number: 038119202

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 23787.11

Subrecipient or Contractor Name: Haven Women's Center

City: Modesto

State: CA

Zip Code: 95354, 0859

DUNS Number: 169212511

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 10354.39

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 14 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 15 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	867
Children	112
Don't Know/Refused/Other	3
Missing Information	0
Total	982

Table 18 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	982
Total	982

Table 19 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	112
18-24	103
25 and over	764
Don't Know/Refused/Other	2
Missing Information	1
Total	982

Table 20 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	85	0	0	0
Victims of Domestic Violence	124	0	0	0
Elderly	80	0	0	0
HIV/AIDS	1	0	0	0
Chronically Homeless	222	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	34	0	0	0
Chronic Substance Abuse	26	0	0	0
Other Disability	35	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 21 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	0
Total Number of bed-nights provided	0
Capacity Utilization	0.00%

Table 22 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	29,176
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	29,176

Table 23 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	20,293
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	5,883
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	26,176

Table 24 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services	0	0	78,750
Operations	0	0	14,523
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	0	0	93,273

Table 25 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
HMIS	0	0	0
Administration	0	0	0
Street Outreach	0	0	0

Table 26 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015
148,625	0	0	148,625

Table 27 - Total ESG Funds Expended

11f. Match Source

	2013	2014	2015
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	55,310
State Government	0	0	0
Local Government	0	0	0
Private Funds	0	0	164,336
Other	0	0	22,888
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	242,534

Table 28 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2013	2014	2015
391,159	0	0	391,159

Table 29 - Total Amount of Funds Expended on ESG Activities

Attachment

Monitoring Objectives

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The following are the City's monitoring standards, procedures, and actions as required by 24 CFR 91.230.

Staff assess risk of CDBG and ESG subrecipients according to different risk factors to determine extent of monitoring for any given CDBG-/ESG-funded activity.

Risk factors include:

- ◆ Subrecipient experience administering CDBG/ESG funds (i.e., new subrecipients);
- ◆ Subrecipient experience carrying out funded activity (i.e., new activities);
- ◆ Past performance administering CDBG/ESG funds;
- ◆ Past performance carrying out funded activity;
- ◆ Subrecipients with limited administrative capacity;
- ◆ Innovative activities that may be high-risk (e.g., economic development activities); and
- ◆ Turnover in activity-delivery staff/leadership

Extent of monitoring ranges from frequent desk audits (i.e., monthly review of project files - performance reports, invoices and supporting documentation, ensuring timely expenditure, tracking progress toward Consolidated Plan/Annual Action Plan goals, etc.) for all CDBG-/ESG-funded activities to more extensive and less frequent (at least once every program year) on-site audits for "high-risk" subrecipients with certain risk factors as described above.

These on-site audits consist of the following:

- ◆ Letter to subrecipient in preparation for the on-site visit;
- ◆ Entrance conference;
- ◆ Key informant interviews;
- ◆ Review of beneficiary, financial, policies and procedures, and other records;
- ◆ Exit conference;
- ◆ Monitoring letter describing both positive feedback and detailed deficiencies; and follow-up,

|

The following is a summary of the City's monitoring objectives:

- ◆ To determine if subrecipient is carrying out project as described in subgrant agreement.
- ◆ To determine if subrecipient is allocating costs to the project that are eligible and reasonable.
- ◆ To determine if subrecipient is conducting activities with adequate control over project and financial performance, and in a way that minimizes opportunities for waste, mismanagement, fraud, and abuse.
- ◆ To assess if subrecipient has a continuing capacity to carry out project, as well as future funding opportunities for which it may apply.
- ◆ To identify potential problem areas and to assist subrecipient in complying with applicable statutes and regulations.
- ◆ To assist subrecipient in resolving compliance problems through discussion, negotiation, and the provision of technical assistance and training.
- ◆ To provide adequate follow-up measures to ensure that performance and compliance deficiencies are corrected by subrecipient, and not repeated.
- ◆ To comply with federal monitoring requirements of 24 CFR 570.501(b) and with 24 CFR 84.51 and 85.40, as applicable.
- ◆ To determine if any conflicts of interest exist in the operation of project, per 24 CFR 570.611.
- ◆ To ensure that required records are maintained to demonstrate compliance with applicable regulations.

The City uses "Appendix 5-21" of *Managing CDBG: A Guidebook for CDBG Grantees on Subrecipient Oversight* for the basic structure of its CDBG/ESG monitoring and specific HUD-developed monitoring protocols according to activity/CPD program (*CPD Monitoring Handbook 6509.2*).

PY15 CDBG-/ESG-funded subrecipients were low-risk, seasoned subrecipients and therefore were audited as part of the quarterly invoice submission review.

As contract agreements are prepared for the various local organizations receiving entitlement funds, strict controls are incorporated in the agreement as to the use of the funds. Whenever possible, payments were made for units of service delivered to beneficiaries or reimbursement for eligible costs incurred by the organization. Desired outcomes and performance measures or outputs (e.g., number of individuals served, type of activity, accomplishments, etc.) were established as part of the agreements. This enables Staff to monitor the effectiveness of HUD-funded projects and ensure compliance with program requirements. The agreement for services also included general performance standards, including the provision of monthly activity reports to the City and written requests for disbursement of funds.

In addition to implementing agreements for services for local organizations, Staff prepares written Memoranda of Understanding (MOUs), with department personnel within the City who expend service time using HOME, CDBG or ESG funds. These City personnel submit written requests with supporting documentation for disbursement of funds. Staff and other City personnel time was monitored prior to each draw as well as quarterly to ensure the usage fell within the regulations.

HOME-assisted rental units are monitored for rent, income, housing quality, and other affordability criteria in accordance with section 92.252. The City performs on-site inspections of HOME-assisted units as required. For HOME-assisted units containing one to four units, the

monitoring is performed not less than once every three years; complexes containing five to 25 units are monitored once every two years, and projects containing 26 units or more are monitored every year.

There are different levels of monitoring that Staff follows beginning at project predevelopment and through the period of affordability. During the predevelopment and construction process staff reviews each draw request. Staff meets with developers to provide technical assistance if necessary and makes periodic site visits to follow-up on project progress. In addition, Staff constantly monitors to see if the project is meeting the standards established in initial agreement, costs are on target and check on quality of construction. During the past fiscal year no onsite monitoring took place. Staff is working on monitoring schedules of affordable housing projects for this fiscal year.

The Housing Rehabilitation support staff monitored the use of the funds for projects funded under the Housing Rehabilitation Loan Program. Funds were disbursed according to a detailed Real Property Improvement Agreement executed by the property owner and the contractor. When a phase of the work was completed, the Housing Rehabilitation Specialist confirmed the completeness of the phase of rehabilitation in accordance with the appropriate housing codes and the work write-up specifications. The Housing Rehabilitation Specialist reviewed the work with the property owner and the owner signed a payment request voucher that authorized the disbursement of funds according to the contract.

Staff conducted desk audits (in-house review of sub-grantee materials, such as progress reports, drawdown requests, written agreement, etc.) of all CDBG Public Services and ESG activity sub-grantees prior to approving invoices for payment. In addition, periodic field visits are conducted of those sub-grantees with limited organizational capacities, new subgrantees, sub-grantees that have experienced high turnover in key staff positions, subgrantees with previous compliance or performance problems, and sub-grantees carrying out high-risk activities due to its innovative nature (e.g., economic development projects). Field visits also serve as opportunities for staff to build collaborative relationships with sub-grantee personnel and assist sub-grantees in creating effective record-keeping systems for accurate reporting.

These monitoring practices ensured compliance with all regulations governing internal and sub-grantee administrative, financial, and programmatic operations. These practices also ensured sub-grantees achieved performance objectives on schedule and within budget. The City's monitoring plan is appropriate for its performance tracking capabilities. Staff used The HUD Community Planning and Development Monitoring Handbook (Handbook 6509.2) when monitoring both internal and sub-grantee HUD-funded projects.

Staff and Finance Department personnel conducted a monthly review process to monitor Federal program expenditures. Staff verified and cross-referenced the information on the monthly budget activity reports with supporting documentation maintained by the Division. In addition, Staff assigned a unique number to each activity that provided easy identification on both the City's financial reporting system and the Federal Integrated Disbursement and Information System (IDIS).

This financial monitoring system offered a form of checks and balances to monitor expenditures and determine the availability of funds.

PR26



Office of Community Planning and Development
 U.S. Department of Housing and Urban Development
 Integrated Disbursement and Information System
 PR26 - CDBG Financial Summary Report
 Program Year 2015
 MODESTO, CA

DATE: 09-28-16
 TIME: 16:39
 PAGE: 1

PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	1,848,449.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	372,668.98
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SJ TYPE)	0.00
05b FUNDS RETURNED TO THE LINE-OF-CREDIT	227,534.93
05c FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	2,448,652.91

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,107,587.73
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,107,587.73
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	516,299.14
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	145,755.08
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	1,729,641.95
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	719,010.96

PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-FAMILY HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,107,587.73
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,107,587.73
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS (PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITTING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	279,122.45
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 + LINE 29 + LINE 30)	279,122.45
32 ENTITLEMENT GRANT	1,848,449.00
33 PRIOR YEAR PROGRAM INCOME	481,460.20
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	2,329,909.20
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	11.98%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	516,299.14
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 + LINE 39 + LINE 40)	516,299.14
42 ENTITLEMENT GRANT	1,848,449.00
43 CURRENT YEAR PROGRAM INCOME	372,668.98
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,221,117.98
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	23.25%



LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17
 Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18
 Report returned no data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	2	12.7	5967379	Public Facility Improvement - Airport Neighborhood Community Center	03	LMA	\$21,755.07
2015	32	12.6	5967379	Public Facility Improvement - Sr Center	03	LMC	\$31,502.34
					03	Matrix Code	\$56,357.41
2012	5	1386	5909898	ADA CURB IMPROVEMENTS 2013-87	03L	LMA	\$247,853.99
					03L	Matrix Code	\$247,853.99
2015	31	1209	5967379	2015PS Family Child Care (SMOCHC West Modesto)	03M	LMD	\$8,781.38
					03M	Matrix Code	\$8,781.38
2015	18	1199	5920767	2015PS Case Management (FPMG)	03T	LMC	\$2,132.01
2015	27	1192	5920767	2015PS Barberien Emergency Shelter (SA)	03T	LMC	\$6,395.04
					03T	Matrix Code	\$8,528.05
2014	1	1163	5907168	2014 Fair Housing Activities (Project Sentinel)	05	LMC	\$5,121.49
2014	1	1153	5909678	2014 Fair Housing Activities (Project Sentinel)	05	LMC	\$19,185.91
2014	7	1149	5909678	2014PS Emergency Response Sys (ARC)	05	LMC	\$12,421.09
2015	17	1203	5920767	2015PS-ASSISTIVE TECHNOLOGY PROGRAM (DRAIL)	05	LMC	\$3,680.61
2015	17	1203	5967379	2015PS-ASSISTIVE TECHNOLOGY PROGRAM (DRAIL)	05	LMC	\$583.41
2015	21	1196	5967379	2015PS Healthy Start Family Resource Ctr (MCS)	05	LMA	\$4,737.39
2015	24	1195	5920767	2015PS Mom's Moments Parenting Program (PRC)	05	LMC	\$8,589.13
2015	24	1195	5967379	2015PS Mom's Moments Parenting Program (PRC)	05	LMC	\$7,365.62
2015	26	1194	5920767	2015PS Food Assistance and Food Thought (SHFB)	05	LMC	\$8,273.94
2015	26	1194	5967379	2015PS Food Assistance and Food Thought (SHFB)	05	LMC	\$17,308.49
2015	29	1190	5967379	2015PS Daily Bread Mobile Lunch Program (USF)	05	LMA	\$10,115.31
					05	Matrix Code	\$98,481.21
2015	5	1175	5909608	2015 PS RECREATION AQUATICS	05A	LMC	\$5,036.99
2015	5	1175	5920767	2015 PS RECREATION AQUATICS	05A	LMC	\$2,746.31
2015	5	1175	5967379	2015 PS RECREATION AQUATICS	05A	LMC	\$1,510.14
2015	20	1197	5967379	2015PS Senior Meals Program (HTC)	05A	LMC	\$10,660.06
					05A	Matrix Code	\$19,353.41
2015	28	1191	5967379	2015PS Safe Seniors (UCP)	05B	LMC	\$8,527.85
					05B	Matrix Code	\$8,527.85
2015	5	1173	5909608	2015 PS RECREATION MADDUX	05D	LMC	\$29,494.38
2015	5	1173	5920767	2015 PS RECREATION MADDUX	05D	LMC	\$15,686.47
2015	5	1173	5967379	2015 PS RECREATION MADDUX	05D	LMC	\$2,471.62
2015	5	1174	5909608	2015 PS RECREATION NCAMP	05D	LMC	\$11,187.52
2015	5	1174	5920767	2015 PS RECREATION NCAMP	05D	LMC	\$775.79
2015	5	1174	5967379	2015 PS RECREATION NCAMP	05D	LMC	\$15,956.75
2015	11	1204	5967379	2015PS Pathways (CHS)	05D	LMC	\$1,709.09
2015	30	1189	5967379	2015PS Sys For Emancipated Foster Youth (CHS)	05D	LMC	\$12,195.87
					05D	Matrix Code	\$92,461.40
2015	10	1198	5967379	2015PS Case Management (Iavers)	05G	LMC	\$2,132.01
					05G	Matrix Code	\$2,132.01
2015	25	1193	5967379	2015 Fair Housing Activities (Project Sentinel)	05K	LMC	\$25,584.14
					05K	Matrix Code	\$25,584.14
2014	7	1153	5909678	2014PS COMMUNITY HOUSING AND SHELTER SERVICES	05N	LMC	\$1,793.09
2015	14	1203	5920767	2015PS Comprehensive Shelter Sys for Children (CCC)	05N	LMC	\$3,409.58
2015	14	1203	5967379	2015PS Comprehensive Shelter Sys for Children (CCC)	05N	LMC	\$2,986.46
2015	15	1202	5920767	2015PS COMMUNITY HOUSING AND SHELTER SERVICES	05N	LMC	\$589.49



Office of Community Planning and Development
 U.S. Department of Housing and Urban Development
 Integrated Disbursement and Information System
 PR26 - CDBG Financial Summary Report
 Program Year 2015
 MODESTO, CA

DATE: 09-28-16
 TIME: 16:30
 PAGE: 3

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	15	1202	5967379	2015PS COMMUNITY HOUSING AND SHELTER SERVICES	05N	LMC	\$1,518.81
2015	16	1201	5920767	2015PS Coordinated Case Management Project (CASA)	05H	LMC	\$10,113.97
2015	16	1201	5967379	2015PS Coordinated Case Management Project (CASA)	05H	LMC	\$578.03
					05N	Matrix Code	\$23,089.34
2015	2	1178	5967379	2015 NEIGHBORHOOD CLEAN-UPS	05V	LMA	\$944.94
					05V	Matrix Code	\$944.94
2010	2	939	5870696	EMERGENCY HOME REPAIR PROGRAM;DISABLED ACCESS ASSISTANCE PROGRAM (CHRP/DAAP)-SINGLE UNIT	14A	LMH	\$1,750.28
2015	1	1180	5909608	2015 Minor Home Grants	14A	LMH	\$27,589.00
2015	1	1180	5920767	2015 Minor Home Grants	14A	LMH	\$4,808.42
2015	1	1182	5918379	HOME REPAIR PROGRAM-DISABLED ACCESS PROGRAM - SINGLE UNIT	14A	LMH	\$59,210.89
2015	1	1186	5918379	Rehab Loan 1100 Cedar Creek Dr #4	14A	LMH	\$7,514.76
2015	1	1187	5918379	Rehab Loan 2016 Lincoln Oak Dr	14A	LMH	\$9,059.25
2015	1	1187	5958506	Rehab Loan 2016 Lincoln Oak Dr	14A	LMH	\$4,344.00
2015	1	1188	5918379	Rehab Loan 3898 Ahilana Cir	14A	LMH	\$5,300.00
					14A	Matrix Code	\$129,676.60
2015	1	1175	5909608	2015 ENERGY EFFICIENCY GRANTS	14F	LMH	\$18,910.00
2015	1	1179	5920767	2015 ENERGY EFFICIENCY GRANTS	14F	LMH	\$1,769.77
2015	1	1179	5967379	2015 ENERGY EFFICIENCY GRANTS	14F	LMH	\$1,078.71
					14F	Matrix Code	\$51,758.43
2015	1	1177	5909608	2015 HOUSING REHAB ADMINISTRATION	14H	LMH	\$127,018.06
2015	1	1177	5920767	2015 HOUSING REHAB ADMINISTRATION	14H	LMH	\$90,509.91
2015	1	1177	5967379	2015 HOUSING REHAB ADMINISTRATION	14H	LMH	\$55,955.00
					14H	Matrix Code	\$282,473.97
2015	2	1172	5909608	2015 CODE ENFORCEMENT	15	LMA	\$8,140.01
2015	2	1172	5920767	2015 CODE ENFORCEMENT	15	LMA	\$32,959.07
2015	2	1172	5967379	2015 CODE ENFORCEMENT	15	LMA	\$10,555.51
					15	Matrix Code	\$51,563.59
Total							\$1,107,587.73

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	18	1199	5920767	2015PS Case Management (CPGM)	03T	LMC	\$2,032.01
2015	27	1192	5920767	2015PS Barberian Emergency Shelter (SA)	03T	LMC	\$8,399.04
					03T	Matrix Code	\$8,528.05
2014	1	1163	5907168	2014 Fair Housing Activities (Project Sentinel)	05	LMC	\$5,214.9
2014	1	1163	5909678	2014 Fair Housing Activities (Project Sentinel)	05	LMC	\$9,185.91
2014	7	1149	5909678	2014PS Emergency Response Svcs (ARC)	05	LMC	\$2,428.06
2015	17	1200	5920767	2015PS-ASSISTIVE TECHNOLOGY PROGRAM (DRAIL)	05	LMC	\$3,580.61
2015	17	1200	5967379	2015PS-ASSISTIVE TECHNOLOGY PROGRAM (DRAIL)	05	LMC	\$583.41
2015	21	1196	5967379	2015PS Healthy Start Family Resource Ctr (MCS)	05	LMA	\$4,737.36
2015	24	1195	5920767	2015PS Mom's Moments Parenting Program (PRC)	05	LMC	\$9,589.13
2015	24	1195	5967379	2015PS Mom's Moments Parenting Program (PRC)	05	LMC	\$7,385.62
2015	26	1194	5920767	2015PS Food Assistance and Food Thought (SHFB)	05	LMC	\$8,273.04
2015	26	1194	5967379	2015PS Food Assistance and Food Thought (SHFB)	05	LMC	\$7,309.44
2015	29	1190	5967379	2015PS Daily Bread Mobile Lunch Program (USF)	05	LMA	\$0,015.31
					05	Matrix Code	\$98,461.21
2015	5	1175	5909608	2015 PS RECREATION AQUATICS	05A	LMC	\$5,035.90
2015	5	1175	5920767	2015 PS RECREATION AQUATICS	05A	LMC	\$2,046.31
2015	5	1175	5967379	2015 PS RECREATION AQUATICS	05A	LMC	\$1,310.14
2015	20	1197	5967379	2015PS Senior Meals Program (HIC)	05A	LMC	\$0,880.06
					05A	Matrix Code	\$19,253.41
2015	28	1191	5967379	2015PS Safe Seniors (UCP)	05B	LMC	\$8,527.05



Office of Community Planning and Development
 U.S. Department of Housing and Urban Development
 Integrated Disbursement and Information System
 PR26 - CDBG Financial Summary Report
 Program Year 2015
 MOCESTO, CA

DATE: 09-28-16
 TIME: 16:39
 PAGE: 4

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
					05B	Matrix Code	\$8,527.85
2015	5	1173	5909008	2015 PS RECREATION MADDUX	05D	LMC	\$28,484.33
2015	5	1173	5920767	2015 PS RECREATION MADDUX	05D	LMC	\$1,305.47
2015	5	1173	5967379	2015 PS RECREATION MADDUX	05D	LMC	\$7,471.67
2015	5	1174	5909008	2015 PS RECREATION NCAMP	05D	LMC	\$11,80.62
2015	5	1174	5920767	2015 PS RECREATION NCAMP	05D	LMC	\$775.78
2015	5	1174	5967379	2015 PS RECREATION NCAMP	05D	LMC	\$15,088.75
2015	13	1204	5967379	2015PS Pathways (CHS)	05D	LMC	\$4,700.00
2015	30	1189	5967379	2015PS Sys for Emancipated Foster Youth (CHS)	05D	LMC	\$21,968.87
					05D	Matrix Code	\$92,481.40
2015	19	1198	5967379	2015PS Case Management (Havens)	05G	LMC	\$2,132.01
					05G	Matrix Code	\$2,132.01
2015	25	1193	5967379	2015 Fair Housing Activities (Project Sentinel)	05K	LMC	\$25,584.14
					05K	Matrix Code	\$25,584.14
2014	7	1153	5909678	2014PS COMMUNITY HOUSING AND SHELTER SERVICES	05H	LMC	\$1,793.00
2015	14	1203	5920767	2015PS Comprehensive Shelter Sys for Children (CCC)	05N	LMC	\$3,408.59
2015	14	1203	5967379	2015PS Comprehensive Shelter Sys for Children (CCC)	05N	LMC	\$2,388.48
2015	15	1202	5920767	2015PS COMMUNITY HOUSING AND SHELTER SERVICES	05H	LMC	\$589.49
2015	15	1202	5967379	2015PS COMMUNITY HOUSING AND SHELTER SERVICES	05H	LMC	\$1,518.81
2015	16	1201	5920767	2015PS Coordinated Case Management Project (CASA)	05H	LMC	\$12,113.07
2015	16	1201	5967379	2015PS Coordinated Case Management Project (CASA)	05H	LMC	\$978.03
					05N	Matrix Code	\$23,089.34
2015	2	1178	5967379	2015 NEIGHBORHOOD CLEAN-UPS	05V	IMA	\$344.04
					05V	Matrix Code	\$344.04
Total							\$279,122.45

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
1994	2	2	5834674	CDBG COMMITTED FUNDS ADJUSTMENT	21A		\$230,183.01
1994	2	2	5835921	CDBG COMMITTED FUNDS ADJUSTMENT	21A		(\$211,000.00)
1994	2	2	5853941	CDBG COMMITTED FUNDS ADJUSTMENT	21A		\$80,503.76
1994	2	2	5859686	CDBG COMMITTED FUNDS ADJUSTMENT	21A		(\$8,334.83)
2014	6	1130	5909678	CDBG General Program Administration	21A		\$31,433.85
2015	6	1170	5909608	2015 ADMINISTRATION (CDBG)	21A		\$102,307.23
2015	6	1170	5920767	2015 ADMINISTRATION (CDBG)	21A		\$85,581.57
2015	6	1170	5967379	2015 ADMINISTRATION (CDBG)	21A		\$121,213.88
					21A	Matrix Code	\$516,299.14
Total							\$516,299.14

2015 eCart



Copy of
ESG-CAPER-Reportin

**MODESTO CITY COUNCIL
RESOLUTION NO. 2016-388**

RESOLUTION APPROVING THE CITY OF MODESTO'S CONSOLIDATED ANNUAL PERFORMANCE EVALUATION REPORT FOR PROGRAM YEAR 2015-16 REFLECTING THE USE OF COMMUNITY DEVELOPMENT BLOCK GRANT, HOME INVESTMENT PARTNERSHIP GRANT, AND EMERGENCY SOLUTIONS GRANT FUNDS; AND AUTHORIZING THE CITY MANAGER, OR HIS DESIGNEE, TO SIGN THE REQUIRED DOCUMENTS FOR SUBMITTAL TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) requires entitlement grantees adopt a comprehensive, long-term plan for the use of its HUD funds, and

WHEREAS, the Five-Year Consolidated Plan (CP), also referred to as the Strategic Plan, analyzes the City's housing and community development needs, with a priority focus on low- and moderate-income individuals, households, and neighborhoods, and describes long-term strategies for meeting those needs, and

WHEREAS, HUD also requires entitlement grantees to submit a Consolidated Annual Performance Evaluation Report (CAPER) to HUD, and

WHEREAS, this document describes how funds were used in a particular fiscal year to address the needs and priorities established in the CP, and

WHEREAS, notice has been duly given to the community of the proposed CAPER, and to provide a 15-day comment period, which began September 12, 2016, and ended on September 27, 2016, and

WHEREAS, the Citizens Housing and Community Development Committee (CH&CDC) considered the proposed CAPER at its September 21, 2016 meeting, and recommended forwarding to the Council for approval, and

WHEREAS, a duly noticed public hearing was held by the City Council on September 27, 2016 at 5:30 p.m., in the Tenth Street Place Chambers, located at 1010 Tenth Street, and

WHEREAS, any comments received during the 15-day public review period or during the City Council meeting will be incorporated into the final CAPER.

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Modesto that it hereby authorizes approval of the 2015-16 Consolidated Annual Performance Evaluation Report for the use of Community Development Block Grant, HOME Investment Partnerships Grant, and Emergency Solutions Grant funds, and

BE IT FURTHER RESOLVED by the Council of the City of Modesto that it hereby authorizes the City Manager, or his designee, to sign any required certifications and documents for submittal to the U.S. Department of Housing and Urban Development

The foregoing resolution was introduced at a regular meeting of the Council of the City of Modesto held on the 27th day of September, 2016, by Councilmember Madrigal, who moved its adoption, which motion being duly seconded by Councilmember Ah You, was upon roll call carried and the resolution adopted by the following vote:

AYES: Councilmembers: Ah You, Grewal, Kenoyer, Madrigal, Ridenour, Zoslocki, Mayor Brandvold

NOES: Councilmembers: None

ABSENT: Councilmembers: None

ATTEST: 
STEPHANIE LOPEZ, City Clerk

(SEAL)

APPROVED AS TO FORM:

By: 
ADAM U. LINDGREN, City Attorney

5

RECEIVED

SEP 23 2016

CITY CLERK'S OFFICE

**DECLARATION OF PUBLICATION
(C.C.P. S2015.5)**

**COUNTY OF STANISLAUS
STATE OF CALIFORNIA**

I am a citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to or interested in the above entitled matter. I am a printer and principal clerk of the publisher of **THE MODESTO BEE**, which has been adjudged a newspaper of general circulation by the Superior Court of the County of STANISLAUS, State of California, under the date of **February 25, 1951, Action No. 46453**. The notice of which the annexed is a printed copy has been published in each issue thereof on the following dates, to wit:

SEPTEMBER 11, 2016

I certify (or declare) under penalty of perjury that the foregoing is true and correct and that this declaration was executed at **MODESTO, California** on

SEPTEMBER 11, 2016

Cynthia A. McManis

(Signature)

PUBLIC NOTICE
City of Modesto
FY 2015-2016 Consolidated Annual Performance and Evaluation Report (CAPER)

Notice is hereby given that a public hearing to consider The City of Modesto's Fiscal Year 2015-2016 Consolidated Annual Performance and Evaluation Report (CAPER) will be held by the Council of the City of Modesto on September 27, 2016, at 5:30 p.m. in the Council Chambers, Basement Level, Tenth Street Place, 1010 10th Street, Modesto, California.

The CAPER identifies the City of Modesto's accomplishments during Fiscal Year 2015-2016 using Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), and HOME Investment Partnership Program (HOME) funds.

Interested persons will be given the opportunity to provide oral and/or written comments at the public hearing.

A fifteen-day public comment period that begins on September 12, 2016 and concludes on September 27, 2016 will be provided to the public. Interested persons may submit written comments to the City of Modesto Community Development Division from September 11 to September 27, 2016 at the mailing or email address listed below.


During the public comment period Citizen Participation Meetings will be held on:

- September 15, 2016 at 2:30 pm at the Continuum of Care monthly meeting
- September 21, 2016 at 12:00 pm at the Citizens Housing & Community Development Committee

Questions related to the FY 2015-2016 CAPER can be directed to:
City of Modesto, Attention: Aaron Farnon, Community Development Division, between the hours of 8:30 am and 4:30 pm or visit the offices at 1010 Tenth Street, Suite 3100, Modesto, CA 95354. Ph: (209) 577-5211. Email: housing@modestogov.com.

If you require a translator, please contact the Community Development Division office at housing@modestogov.com or (209) 577-5211, no fewer than two business days prior to the workshop to make the necessary arrangements.

In compliance with the Americans with Disabilities Act (ADA), the City of Modesto does not discriminate against persons with disabilities and is an accessible facility. Any person with a disability who requires a modification or accommodation to be able to participate is asked to contact the Community Development Division at housing@modestogov.com or (209) 577-5211, no fewer than two business days prior to the workshop to allow for reasonable arrangements.


EQUAL HOUSING OPPORTUNITY

MOD-2650943 9/11

RECEIVED
MODESTO CITY CLERK
2016 SEP 16 AM 10:11

**DECLARATION OF PUBLICATION
(C.C.P. S2015.5)**

**COUNTY OF STANISLAUS
STATE OF CALIFORNIA**

I am a citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to or interested in the above entitled matter. I am a printer and principal clerk of the publisher of **THE MODESTO BEE**, which has been adjudged a newspaper of general circulation by the Superior Court of the County of STANISLAUS, State of California, under the date of **February 25, 1951, Action No. 46453**. The notice of which the annexed is a printed copy has been published in each issue thereof on the following dates, to wit:

**Vida En El Valle Publication
SEPTEMBER 7, 2016**

I certify (or declare) under penalty of perjury that the foregoing is true and correct and that this declaration was executed at **MODESTO, California** on

SEPTEMBER 7, 2016

Cynthia A. McClamma

(Signature)

Notificación Pública
CIUDAD DE MODESTO
REPORTE CONSOLIDADO DE EVALUACION Y RENDIMIENTO ANUAL (CAPER) DEL AÑO FISCAL 2015-2016

Se da aviso por este medio que una audiencia pública para considerar el Reporte Consolidado de Evaluación Y Rendimiento Anual (CAPER) del año fiscal 2015-2016 será llevada a cabo por el Consejo de la Ciudad de Modesto el 27 de septiembre de 2017 a las 5:30 pm en el despacho de juntas públicas en el 1010 10th Street, Modesto, California.

El CAPER identifica los logros del Programa de Subsidios Globales para el Desarrollo Comunitario (CDBG), Programa de Solución de Emergencia para personas y familias sin hogares (ESG) y Programa de Viviendas (HOME).

Las personas interesadas tendrán la oportunidad de proveer comentarios en escrito u orales en la audiencia pública.

Habrà un período de comentario público de 15 días que comenzará el 12 de septiembre del 2016 y concluye el 27 de setiembre del 2016. Personas interesadas podrán proveer comentarios por escrito a la Ciudad de Modesto División de Desarrollo Comunitario comenzando el 12 de septiembre al 27 de septiembre del 2016 a la dirección de correo postal o correo electrónico proveído en esta notificación.


Durante el periodo de comentario público reuniones públicas serán llevadas a cabo en:

- 15 de septiembre del 2016 en la junta publica de Continuum of Care.
- 21 de septiembre del 2016 en la junta publica de Citizens Housing & Community Development Committee

Preguntas relacionadas al CAPER pueden ser enviadas a Juan Gonzalez, Division de Desarrollo Comunitario de la Ciudad de Modesto entre las horas de 8:30 am a 4:30 pm, o visite las oficinas en el 1010 10th Street, Suite 3100, Modesto CA 95354 Tel: (209) 577-5368; TDD (problemas de audición solamente) al 1-800-735-2929. Correo electrónico: housing@modestogov.com.

La audiencia pública se realizará en inglés. Si usted necesita un intérprete, comuníquese con la oficina de Desarrollo y Comunitario en housing@modestogov.com o al (209) 577-5211, por lo menos dos días de trabajo antes de la audiencia pública para hacer los arreglos necesarios.

En cumplimiento con la Ley de los Americanos con Discapacidades (ADA), la Ciudad de Modesto no discrimina a las personas con discapacidades y ofrece una instalación accesible. Cualquier persona con una discapacidad que requiere una modificación o acomodaciones para poder participar en esta audiencia pública se le pide que llame a la oficina del Departamento de Desarrollo Económico y Comunitario housing@modestogov.com or (209) 577-5211, por lo menos dos días de trabajo antes de la audiencia pública para hacer los arreglos necesarios.


ECONOMIC DEVELOPMENT AND COMMUNITY OPPORTUNITY

M103000530837-01